JC10 Rec'd PCT/PTO 23 MAR 2005

| FORM PTO-1 OFFICE | 1390 U.S. DEPARTMENT OF CO | ATTORNEY'S DOCKET NO. NL 020862 | | | | | | | | |
|---|---|--|--|--|--|--|--|--|--|--|
| TRÂNSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | | | | | | | |
| INTERNATIO | NAL APPLICATION NO. | PRIORITY DATE CLAIMED | | | | | | | | |
| PCT/IB2003/0 | 004187 | September 23, 2003 | September 27, 2002 | | | | | | | |
| TITLE OF INVENTION OPTICAL BLEMENT, METHOD FOR MANUFACTURING SUCH AN ELEMENT AND A METHOD FOR ALIGNING A LIGHT BEAM AND SUCH AN ELEMENT | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Rudolf Johan Maria VULLERS | | | | | | | | | | |
| Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| 1. [X] This is a FIRST submission of Items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | |
| 2. [] Ti | | | | | | | | | | |
| e | | | | | | | | | | |
| 4. [] A | A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. | | | | | | | | | |
| a. b. | copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. [] is transmitted herewith (required only if not transmitted by the International Bureau). b. [X] has been transmitted by the International Bureau. c. [] is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. [] A | A translation of the International Application into English (35 U.S.C. 371(c)(2)) | | | | | | | | | |
| a. b. c. | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [] are transmitted herewith (required only if not transmitted by the International Bureau). b. [] have been transmitted by the International Bureau. c. [] have not been made; however, the time limit for making such amendments has NOT expired. d. [X] have not been made and will not be made. | | | | | | | | | |
| 8. [] A | A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | | | |
| 9. [X] Ar | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. [] A | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| Items 11. to 16. below concern document(s) or information included: | | | | | | | | | | |
| 11. [X] Ar | 1. [X] An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. | | | | | | | | | |
| 12. [X] Ar | An assignment document for recording. A separate cover sheet is compliance with 37 C.F.R. 3.28 and 3.31 is included. | | | | | | | | | |
| 13. [X] A | A FIRST preliminary amendment. A SECOND OR SUBSEQUENT preliminary amendment. | | | | | | | | | |
| 14. [] A | A substitute specification. | | | | | | | | | |
| 15. [] A | A change of power of attorney and/or address letter. | | | | | | | | | |
| 16. [X] Ot | [X] Other items or information: | | | | | | | | | |
| <u>X</u> X | Statement under 37 CFR 3.73 | ute Application Before the USPTO [PTO/SB/80] 5(b) [PTO/SB/96] CFR § 1.136(a)(3) and to Charge Deposit Accoun | · · | | | | | | | |
| | Date of Deposit | CERTIFICATE OF MAILING ng Label No. EV 664 85 22 3-23-05 paper and fee is being deposited with the U | 54-US nited States Postal Service "Express Mail Post | | | | | | | |

I hereby certify that this paper and fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jeanne Rusciano Typed Name

Signature

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| U.S. APPLICATIONING. Experies 6937 C.F.R. 1.5) INTERNATION. | | | | NAL APPLICATION NO. 004187 | ATTORNEY'S DOCKET NUMBER NL 020862 | | | | | |
|---|--|-----------------------------|-------------|-------------------------------|---------------------------------------|----|--|--|--|--|
| 17 [X] The following | fees are submitted: | CALCULATIONS (PTO USE ONLY) | | | | | | | | |
| BASIC NATIONAL FEE (37 C.F.R. 1.492(A)(1)-(5)): | | | | | | | | | | |
| Search Rej | port has been prepared t | | | | | | | | | |
| (37 C.F.R. | • | | | | | | | | | |
| (37 C.F.R. / (37 C.F.R. / | , , , | | | | | | | | | |
| Neither into 1.482) nor i paid to USI | ernational preliminary ex international search fee PTO | | | | | | | | | |
| Internation (37 C.F.R. Article 33(2 | al preliminary examinati 1.482) and all claims sati 2)-(4) | | | | | | | | | |
| | ENTER APPROPRIATE | BASIC F | EE AMOUNT = | | \$ 1000.00 | | | | | |
| Surcharge of \$130.00 from the earliest claim | for furnishing the oath oned priority date (37 C.F. | \$ | | | | | | | | |
| CLAIMS | LAIMS NUMBER FILED NUMBE | | ER EXTRA | RATE | | | | | | |
| Total Claims | 37 - 20 = | 17 | | X \$ 50.00 | \$ 850.00 | | | | | |
| Independent claims | 2 - 3 = | 0 | | X \$ 200.00 | \$ 0.00 | | | | | |
| MULTIPLE DEPENDEI applicable) | NT CLAIMS (if | \$ 850.00 | | | | | | | | |
| | TOTAL OF A | \$ 850.00 | | | | | | | | |
| Reductions by 1/2 for must also be filed (No | filing by small entity, if a te 37 C.F.R. 1.9, 1.27, 1.2 | \$ | | | | | | | | |
| | | \$ 1850.00 | | | | | | | | |
| | 0.00 for furnishing the Elest claimed priority date | \$ | | | | | | | | |
| | | \$ 1850.00 | | | | | | | | |
| Fee for recording the accompanied by an ap | enclosed assignment (37 opropriate cover sheet (3 | \$ 40.00 | | | | | | | | |
| | | \$ 1890.00 | | | | | | | | |
| | | Amount to be Refunded | \$ | | | | | | | |
| | | | | | Charged | \$ | | | | |
| a. [] A check in the amount \$ to cover the above fees is enclosed. | | | | | | | | | | |
| b. [X] Please charge my Deposit Account No. 14-1270 in the amount of \$1890.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| c. [X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. <u>14-1270</u> . A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: Muhally Bells | | | | | | | | | | |
| Corporate Patent Counsel Philips Electronics North America Corporation Michael E. E | | | | | | | | | | |
| P.O. Box 3001 Briarcliff Manor, NY 10 | • | | | | | | | | | |
| | · - | UMBER) | | | | | | | | |